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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <i>(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))</i>	Attorney Docket No.	2204-031579
	First Inventor	Junji Kido
	Title	"Organic Electroluminescent Display Device and Chemical Compounds for Liquid Crystals"
	Express Mail Label No.	EL 738800923 US

<b>APPLICATION ELEMENTS</b> <i>See MPEP chapter 600 concerning utility patent application contents.</i>	<b>ADDRESS TO:</b> Commissioner For Patents Mail Stop Patent Application P.O. Box 1450 Alexandria VA 22313-1450
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1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
2. ☐ Applicant claims small entity status.  
 See 37 CFR 1.27.
3. ☒ Specification [Total Pages **40**]  
*(preferred arrangement set forth below)*
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings *(if filed)*
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **3**]
5. Oath or Declaration [Total Pages **5**]
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 18 completed)*
- i. ☐ **DELETION OF INVENTOR(S)**  
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76
7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program *(Appendix)*
8. Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☒ Assignment (copy from parent application)
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
*(when there is an assignee)*
11. ☐ English Translation Document *(if applicable)*
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
15. ☐ Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Copy Notice of Continuation Application

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09/844,151

Prior application information: Examiner Jennifer R. Sadula Art Unit: 1768

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

☒ Customer Number or Bar Code Label **28289** or ☒ Correspondence address below  
 (Insert Customer No. or Attach bar code label here)

Name	Russell D. Orkin				
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Name (Print/Type)	Russell D. Orkin	Registration No. (Attorney/Agent)	23,363
Signature	<i>Russell D. Orkin</i>	Date	August 28, 2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

03940 U.S. PTO  
 10/650361  
 08/28/03

**PATENT APPLICATION TRANSMITTAL LETTER****MAIL STOP PATENT APPLICATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Transmitted herewith for filing is the patent application of: Junji Kido, Hitoshi Nakada, Teruo Tohma, Ryuji Murayama and Toshinao Yuki  
Entitled: "Organic Electroluminescent Display Device and Chemical Compounds for Liquid Crystals"

**Small Entity Status:**

- ☐ Small Entity Statement under 37 CFR 1.27 is enclosed  
☐ Small Entity Status is asserted for this application under 37 CFR 1.27

Enclosed are: ☒ 3 sheets of drawings  
☒ Declaration (copy from parent)  
☒ Assignment (copy from parent)  
☒ Preliminary Amendment  
☒ Copy of Notification of Filing of Continuing or Divisional Application

**CLAIMS AS FILED**

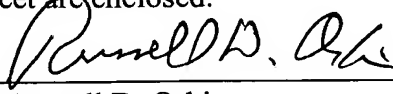
	<u>No. Filed</u>	<u>No. Extra</u>	<u>Small Entity Rate</u>	<u>Non-Small Entity Rate</u>	<u>Charge</u>
Total					
Claims	<u>24</u>	<u>-20 = 4</u>	x \$ 9.00	x \$ 18.00	\$ <u>72.00</u>
Indep. Claims	<u>12</u>	<u>-3 = 9</u>	x \$ 42.00	x \$ 84.00	\$ <u>756.00</u>
Multiple Dependent Claim/s			+ \$140.00	+ \$280.00	\$ <u>0</u>
Basic Fee			+ \$375.00	+ \$750.00	\$ <u>750.00</u>
			Total of above Charges		\$ <u>1,578.00</u>
			Total Fee		\$ <u>1,578.00</u>

A check in the amount of \$1,578.00 is enclosed to cover the filing fee.

The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR 1.16 or patent application processing fees under 37 CFR 1.17 associated with this communication to Deposit Account No. 23-0650. Please refund any overpayment to Deposit Account No. 23-0650.

An original and two copies of this sheet are enclosed.

August 28, 2003  
Date

By   
Russell D. Orkin  
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PATENT APPLICATION  
Attorney's Docket No. 2204-010664

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Junji Kido et al.  
Serial No.: 09/844,151 Group Art Unit: 1768  
Filing Date: April 27, 2001 Examiner: Jennifer R. Sadula  
Title: "Organic Electroluminescent Display Device and Chemical Compounds for Liquid Crystals"

Mail Stop PATENT APPLICATION  
COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

NOTIFICATION OF FILING OF  
CONTINUING OR DIVISIONAL APPLICATION

Notification is hereby being made of the filing of a:

- ☒ Continuation  
☐ Continuation-in-part  
☐ Divisional


application for this case filed:

- ☐ concurrently herewith.  
☒ on August 28, 2003  
(date)

Respectfully submitted,

WEBB ZIESENHEIM LOGSDON  
ORKIN & HANSON, P.C.

Date: August 28, 2003

By 

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 28, 2003.

Florence P. Trevethan  
(Name of Person Mailing Paper)

Florence P. Trevethan  
Signature

8/28/2003  
Date

**COPY**

PATENT APPLICATION  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

ATTORNEY'S DOCKET NUMBER

Junji Kido, Hitoshi Nakada,  
Teruo Tohma, Ryuji Murayama and Toshinao Yuki  
ENTITLED

2204-031579

"Organic Electroluminescent Display Device and Chemical  
Compounds for Liquid Crystals"

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**EXPRESS MAIL CERTIFICATE**

"Express Mail" Label Number EL 738800923 US

Date of Deposit August 28, 2003

I hereby certify that the following attached paper ors fee

UTILITY PATENT APPLICATION TRANSMITTAL (1 p.);  
PATENT APPLICATION FEE TRANSMITTAL (1 p.-in trip.);  
PRELIMINARY AMENDMENT (3 pp);  
SPECIFICATION (33 pp.);  
CLAIMS (6 pp.);  
ABSTRACT OF THE DISCLOSURE (1 p.);  
THREE (3) SHEETS OF DRAWINGS;  
DECLARATION AND POWER OF ATTORNEY (5 pp.-copy from parent);  
ASSIGNMENT (5 pp -copy from parent);  
COPY OF NOTIFICATION OF FILING OF CONTINUING OR DIVISIONAL  
APPLICATION (1 p.);  
and Check for \$1,578.00 for filing fee and extra claims.

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee"  
service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Mail Stop  
Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Florence P. Trevethan

(Typed name of person mailing paper or fee)

Florence P. Trevethan

(Signature of person mailing paper or fee)